Do not submit this page

Selfwealth

International Stock Transfer Request

Transfer International shares from another broker to Selfwealth

This form is to transfer all or part of your existing international holdings to Selfwealth.

Once completed and signed, please return the form along with a copy of all account holders' ID to **support@selfwealth.com.au.**

If you need any help along the way, you can also send questions to the email address above or via Live Chat.

Instructions and Common Questions

Once you receive confirmation from Selfwealth that the form has been completed correctly, you will need to forward the relevant transfer form to the broker you are transferring your holdings from.

The relinquishing custodian of your International holdings, may have rules that prohibit the release of transfers to another custodian.

You should always check with your custodian for their rules prior to submitting your transfer to Selfwealth.

What restrictions are there for transferring International shares to Selfwealth?

• You cannot transfer holdings where there is a Change of Beneficial Ownership (eg: Individual to a Joint etc.)

• You cannot transfer an odd lot value of a holding to Selfwealth for a Hong Kong exchange stock (eg: Transferring 331 quantity of a stock, when the board lot value is 100.)

• You cannot transfer fractional shares into your Selfwealth account, you will need to amend your total for each quantity so it is a whole number.

• You cannot transfer Over the Counter (OTC) stocks to your Selfwealth International Trading account.

• You cannot transfer certificated International holdings to your Selfwealth International trading account. We can only accept stock that is held electronically with another broker.

• PhillipCapital can only facilitate a transfer of stocks via the DTC method and does not facilitate a transfer via the ACATS or DRS method.

• By signing the International Stock Transfer form you confirm that you do not have any open orders with the releasing custodian that you are transferring stocks from.

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Checklist before submitting your request

For your transfer to be processed without delay please ensure:

- ☐ You are aware of the conditions of transferring your International holdings out of your existing custodian.
- ☐ All security holders requesting the share transfer have signed this document **WITH AN INK PEN.**
- ☐ The account details at the other broker have been provided in full, including the broker's email address.
- ☐ **PHOTO ID** of all account holders is attached.

1. Your Selfwealth account details

To find this information, login to your Selfwealth account. Select the correct portfolio in the left column, scroll down to TRADE and select Trading Account, then Account Details.

Your PhillipCapital ID *Not your HIN*

SW-

Selfwealth trading account name

Sponsoring broker

PhillipCapital Limited

Broker Email

ForeignSharesTrf@phillip.com.sg /

settlements@phillipcapital.com.au

2. Your details at the other broker

Provide the details of the account your holdings will be transferred from.

For the transfer to be successful, kindly ensure that the account name matches between brokers and to include the contact email of the delivering broker.

Your account number

Your account name

Sponsoring broker

Broker Email

3. List the holdings you would like to transfer below

This form is for International securities only. Extra rows are available on page 4.

Ticker code	Full company or fund name	Market/Exchange	Quantity of shares/units
EG. MSFT	Microsoft	NASDAQ	600

4. Signatures

I/We authorise Selfwealth/PhillipCapital Limited to transfer the above listed holdings into my/our Selfwealth Trading Account.

Digital signatures are not accepted. Please ensure you sign the form with a pen. All account holders must sign. For company accounts, sign in accordance with the company's constitution.

Account holder 1

/ /

Signature

Digital signature not accepted

Account holder 2

/ /

Signature

Digital signature not accepted

Use this page if you need to add additional securities to step 3

This form is for International securities only.

Ticker code	Full company or fund name	Market/Exchange	Quantity of shares/units
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/ /

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Account holder 2

/ /

Signature

Digital signature not accepted